

Ex-ante price list, EU and EEA clients

Service:	Execution Only for natural persons
Single position:	Stocks in Switzerland , collective custody
Category/trading venue:	Large enterprise/SIX Swiss Exchange
Type of transaction:	Purchase/sale by phone, email, letter via management
Investment amount:	CHF 20,000
Holding period:	5 years

Cost component	Costs	
	in Swiss francs	in %
One-time initial costs		
Purchase of the position (broker's fee)	220.00	1.10%
Total initial costs	220.00	1.10%
Ongoing costs over the aforementioned holding period		
Administration & custodianship	300.00	1.50%
Total ongoing costs over the holding period	300.00	1.50%
Exit costs		
Sale of the position (broker's fee)	220.00	1.10%
Total exit costs	220.00	1.10%
Total costs	740.00	3.70%
Retained contributions	none	none

Effects of costs on investment yield		
Without taking the aforementioned costs into account, the investment yield would be higher, as indicated below:		
In the first year by:	280.00	1.40%
In years 2 through 4 by:	60.00	0.30%
In the last year by:	280.00	1.40%
Consolidated over the aforementioned holding period by:	740.00	3.70%
On average per year, over the aforementioned holding period, by:	148.00	0.74%

E. GUTZWILLER & C^{IE}
BANQUIERS

Service: **Execution Only** for natural persons

Single position: **Stocks in foreign countries**, collective custody

Category/trading venue: Large enterprise/Frankfurt Stock Exchange

Type of transaction: Purchase/sale by phone, email, letter via management

Investment amount: CHF 20,000

Holding period: 5 years

Cost component	Costs	
	in Swiss francs	in %
One-time initial costs		
Purchase of the position (broker's fee)	280.00	1.40%
Total initial costs	280.00	1.40%
Ongoing costs over the aforementioned holding period		
Administration & custodianship (Assumption: Custodianship in foreign country +0.05% p.a.)	350.00	1.75%
Total ongoing costs over the holding period	350.00	1.75%
Exit costs		
Sale of the position (broker's fee)	280.00	1.40%
Total exit costs	280.00	1.40%
Total costs	910.00	4.55%
Retained contributions	none	none

Effects of costs on investment yield		
Without taking the aforementioned costs into account, the investment yield would be higher, as indicated below:		
In the first year by:	350.00	1.75%
In years 2 through 4 by:	70.00	0.35%
In the last year by:	350.00	1.75%
Consolidated over the aforementioned holding period by:	910.00	4.55%
On average per year, over the aforementioned holding period, by:	182.00	0.91%

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BANQUIERS

Service: **Execution Only** for natural persons

Single position: **Bonds in Swiss francs**, collective custody

Category/trading venue: Large enterprise/SIX Swiss Exchange

Type of transaction: Purchase/sale by phone, email, letter via management

Investment amount: CHF 20,000

Holding period: 5 years

Cost component	Costs	
	in Swiss francs	in %
One-time initial costs		
Purchase of the position (broker's fee)	190.00	0.95%
Total initial costs	190.00	0.95%
Ongoing costs over the aforementioned holding period		
Administration & custodianship	300.00	1.50%
Total ongoing costs over the holding period	300.00	1.50%
Exit costs		
Reimbursement (commission)	50.00	0.25%
Total exit costs	50.00	0.25%
Total costs	540.00	2.70%
Retained contributions	none	none

Effects of costs on investment yield		
Without taking the aforementioned costs into account, the investment yield would be higher, as indicated below:		
In the first year by:	250.00	1.25%
In years 2 through 4 by:	60.00	0.30%
In the last year by:	110.00	0.55%
Consolidated over the aforementioned holding period by:	540.00	2.70%
On average per year, over the aforementioned holding period, by:	108.00	0.54%

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BANQUIERS

Service: **Execution Only** for natural persons

Single position: **Bonds in euros**, collective custody

Category/trading venue: Large enterprise/Frankfurt Stock Exchange

Type of transaction: Purchase/sale by phone, email, letter via management

Investment amount: CHF 20,000

Holding period: 5 years

Cost component	Costs	
	in Swiss francs	in %
One-time initial costs		
Purchase of the position (broker's fee)	250.00	1.25%
Total initial costs	250.00	1.25%
Ongoing costs over the aforementioned holding period		
Administration & custodianship (Assumption: Custodianship in foreign country +0.05% p.a.)	350.00	1.75%
Total ongoing costs over the holding period	350.00	1.75%
Exit costs		
Reimbursement (commission)	50.00	0.25%
Total exit costs	50.00	0.25%
Total costs	650.00	3.25%
Retained contributions	none	none

Effects of costs on investment yield		
Without taking the aforementioned costs into account, the investment yield would be higher, as indicated below:		
In the first year by:	320.00	1.60%
In years 2 through 4 by:	70.00	0.35%
In the last year by:	120.00	0.60%
Consolidated over the aforementioned holding period by:	650.00	3.25%
On average per year, over the aforementioned holding period, by:	130.00	0.65%

E. GUTZWILLER & C^{IE}
BANQUIERS

Service: **Execution Only** for natural persons

Single position: **Funds in Swiss francs**, collective custody

Category/trading venue: Mutual funds

Type of transaction: Purchase/sale by phone, email, letter via management

Investment amount: CHF 20,000

Holding period: 5 years

Cost component	Costs	
	in Swiss francs	in %
One-time initial costs		
Purchase of the position (broker's fee)	220.00	1.10%
Total initial costs	220.00	1.10%
Ongoing costs over the aforementioned holding period		
Administration & custodianship	300.00	1.50%
Product costs (thousands of euros, assumption: 0.80% p.a.)	800.00	4.00%
Total ongoing costs over the holding period	1,100.00	5.50%
Exit costs		
Sale of the position (broker's fee)	220.00	1.10%
Total exit costs	220.00	1.10%
Total costs	1,540.00	7.70%
Retained contributions	none	none

Effects of costs on investment yield		
Without taking the aforementioned costs into account, the investment yield would be higher, as indicated below:		
In the first year by:	440.00	2.20%
In years 2 through 4 by:	220.00	1.10%
In the last year by:	440.00	2.20%
Consolidated over the aforementioned holding period by:	1,540.00	7.70%
On average per year, over the aforementioned holding period, by:	308.00	1.54%

effective as of 15/11/2021